



Application /Request for Quotation

LMS ASSESSMENT SERVICES PVT. LTD.



Initial Certification

Re- Certification

Transfer of Certification

Please complete this questionnaire and forward it to LMS Assessment Services Pvt. Ltd. who will then provide you with a written proposal. Any information will be treated as confidential and will not be disclosed or discussed with any third party.

Company Name							
Address							
City		PIN Code		Country			
Tel Number				Contact Name			
Fax Number				Position			
Web Site				E-mail			
Standard(s) to be assessed				Any exclusion of the standard requirements			
Accreditation Required				Other Information			

Scope: Please describe what activities your organisation carries out.

Please list any additional site(s) to be included in the scope of registration

Total Employees		No. of Shifts			
Employee Details		Full Time	Part Time	Full Time	Part Time
		Design		Store	
		Production		Accounts	
		Sales		Quality/MS	
		Purchase		Others	
Approx. number of sub-contractors used on average (if applicable).		Describe the type of work subcontracted (if applicable).			
Legal and Statutory Requirements		Certified in other systems			

Audit Mode Physical/ Onsite Virtual/Remote

Details of Virtual Site if any:

Quality Management System ISO 9001:2015

Number of Sites to be Audited? Single Multiple

Is the Clause " Design & Development" included in the Scope of Organization? Yes No

Is there any process that affects the product conformity and is outsourced? Yes No

* Attach Statement of Non Applicability (SONA) as per **Annexure A** of ISO 9001:2015 Yes No

Legal Obligations if any : Yes

Environmental Management System ISO 14001:2015

Number of Sites to be Audited? Single Multiple

Whether Initial Environmental Review (IER) available? Yes No

Whether Register of Significant Aspects / Impacts available? Yes No

Whether Legal Register available? Yes No

Whether Environmental Management Program (EMP) available? Yes No

Has EMP been implemented? Yes No Attach List of Compliance Obligations Yes No



Application /Request for Quotation

LMS ASSESSMENT SERVICES PVT. LTD.

✓	Initial Certification	Re- Certification	Transfer of Certification
---	-----------------------	-------------------	---------------------------

Occupational Health & Safety System ISO 45001:2018

Number of Sites to be Audited? Single Multiple Have you identified Key Hazards & Risks? Yes No

If yes, List of Hazardous materials any relevant legal obligations.

Personal working onsite and off-site.

Detail all identified Critical occupational health and safety risks and processes.

Whether any Incident/ Accident in Past? Yes No

Food Safety Management System ISO 22000:2018

Number of Sites to be Audited? Single Multiple

Have you implemented HACCP Principles? Yes No

Any seasonality issues? Yes No

Total No of HACCP Studies (As per ISO/TS 22003:2013) _____

How many process lines are there in production _____

Any Prior Audits Conducted Yes No

If Yes , attach audit findings

Other Factors(Kindly Confirm No's):-

Product Types=_____ ; Product Lines=_____ ; Product Development=_____ ; CCP=_____ ; OPRP=_____ ;

Building Area=_____ ; Infrastructure=_____ ; In House Lab Testing=_____ ; Translator Requirements=_____ ;

Food Safety System Certification FSSC 22000

Number of Sites to be Audited? Single Multiple

Have you implemented FSSC 22000 Version 4.1? Yes No

If Yes

Date of Implementation _____

Have you implemented HACCP Principles? Yes No

Any seasonality issues? Yes No

Total No of HACCP Studies (As per ISO/TS 22003:2013) _____

How many process lines are there in production _____

Any Prior Audits Conducted Yes No

If Yes, attach audit findings

Other Factors (Kindly Confirm No's) :-

Product Types=_____ ; Product Lines=_____ ; Product Development=_____ ; CCP=_____ ; OPRP=_____ ;

Building Area=_____ ; Infrastructure=_____ ; In House Lab Testing=_____ ; Translator Requirements=_____ ;

Information Security Management System ISO 27001:2013

Service Management System ISO 20000-1:2018

Number of Sites to be Audited? Single Multiple

Has a Statement of Applicability been compiled? Yes No

No. of user = No. of sites =

No. of servers = No. of Workstations (PC + Laptops) =

Any Prior Audits Conducted Yes No

If Yes , attach audit findings:.....



Application /Request for Quotation

LMS ASSESSMENT SERVICES PVT. LTD.



Initial Certification

Re- Certification

Transfer of Certification

Energy Management System ISO 50001:2018

Number of Sites to be Audited? Single Multiple

Annual Energy Consumption=

Number of energy Sources=

Number of significant energy uses (SEUs) =

Medical Device Quality Management System ISO 13485:2016

Number of Sites to be Audited? Single Multiple

Outsourced process:

Critical activity:

Question	Yes	No
Is the product a nearly finished and assembled medical device? (i.e., it is intended to be used for a medical purpose and only needs packaging and/or labeling)		
Is the product intended to be a component/part of a medical device?		
Is the organization contracted to carry out any activities that are regulated by a medical device regulation (e.g., relabeling, remanufacturing of other medical devices)?		
Is the product supplied sterile?		
Does the product contain software developed by the client organization or a supplier?		
Is "Design and Development" in the scope of the ISO 13485 certification (e.g., when public law permits exclusion of design and development which is the case very often for low-risk medical devices)?		
Is the product (Raw Materials, Parts, Components, Subassemblies, Maintenance Services, or Other Services) intended to support associated medical devices? Note: Refer to the note in Annex A, Table A.1.7, a) as an example.		
*Kindly select applicable answer in above question series.		

Business Continuity Management System ISO 22301:2019

Number of Sites to be Audited? Single Multiple

Business Impact Process Defined Yes No

Strategies and Methodologies for reducing the impact and the likelihood of disruptive Incidents Defined Yes No

Anti-Bribery Management System ISO 37001:2016

Number of Sites to be Audited? Single Multiple

Bribery Risk Assessment is Defined Yes No

List of Bribery Indicator Defined Yes No

For IMS (Integrated Management System) Only

Level of Integration for IMS Only	If documents for all systems are integrated	1	2	3	4	5
Please Tick Mark on the scale of 1 to 5. (1 being the lowest and 5 being the highest)	If Management Review is common for all systems					
	If Internal Audit is covering all systems under IMS					
	If Policy & Objectives are integrated under IMS					
	If process are integrated					
	If corrective, preventive action, measurement and continual					



Application /Request for Quotation

LMS ASSESSMENT SERVICES PVT. LTD.

✓

Initial Certification

Re- Certification

Transfer of Certification

improvement system are integrated

If management support & responsibilities are integrated

In Case of Transfer from other Certification Bodies

Certification Body Name & Accreditation

Certificate Expiry date

Last Audit Date

Attach Last Audit Report and Certificate

When you will be ready for audit?

Information related to Client Organisation

Date of the system(s) implementation

Latest Internal Audit Date

Latest MRM Date

If you hired services of any consultant/organisation

Name

Address

If already certified for any standard CAB Details

identifying confidential or sensitive information which needs special instruction (When Visit at your Place)

identifying if any special safety, Hygiene or security equipment required to LMS Team (When Visit at your Place)

Is there any process that affects the product conformity and is outsourced?

Yes No (If Yes, Please Describe Below)

Signature

Date

Please return this form to :

LMS Assessment Services Pvt. Ltd.
 TF 14-15 Arohi Arcade, Munshi Puliya, Lucknow, UP 226016
 Tel: 91-9839960011; Helpline: +91 955 4645464:
 E Mail: info@lmsregistrar.com Web: <http://lmsregistrar.com/>